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PTO/SB/21 (09-04) (AW 10/2004)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5+1 Ref.+Ofc.Action

Application Number	09/811,682
Filing Date	March 19, 2001
First Named Inventor	Yuji Fujiwara et al.
Art Unit	2616
Examiner Name	Andrew I. Faile
Attorney Docket No.	MTS-3237US

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form SB/08(a)&(b) Return Postcard
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#### Remarks:

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name	RatnerPrestia		
Signature	<i>Daniel N. Calder</i>		
Printed Name	Daniel N. Calder		
Date	September 14, 2005	Registration No.	27,424

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	<i>Deborah Spratt</i>		
Typed or Printed Name	Deborah Spratt	Date	September 14, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 09/811,682  
Applicant: Yuji Fujiwara et al.  
Filed: March 19, 2001  
Title: SIGNAL RECORDING APPARATUS AND METHOD, SIGNAL  
REPRODUCING APPARATUS AND METHOD, MEDIUM, AND  
INFORMATION ASSEMBLY  
TC/A.U.: 2616  
Examiner: Andrew I. Faile  
Confirmation No.: 9387  
Docket No.: MTS-3237US

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and/or PTO/SB/08b form submitted herewith. A copy of the references listed on the PTO/SB/08a and/or PTO/SB/08b form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

The following reference is not in the English language:

1) JP 06-334983

Reference 1) includes an English language abstract.

Reference 1) includes a partial English language translation.

Reference 1) was cited in an Office Action by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this Japanese

Office Action, along with a partial English translation, is transmitted herewith. MPEP Section 609A(3), second paragraph.

This Supplemental Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

*Daniel N. Calder*

Daniel N. Calder, Reg. No. 27,424  
Attorney for Applicants

DNC/ds

Enclosures: PTO/SB/08a and/or PTO/SB/08b

(1) Reference  
Office Action w/partial translation  
Transmittal Form

Dated: September 14, 2005

P.O. Box 980  
Valley Forge, PA 19482  
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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September 14, 2005

Deborah Spratt

*Deborah Spratt*

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

 <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>	<i>Complete if Known</i>	
	Application Number	09/811,682
	Filing Date	March 19, 2001
	First Named Inventor	Yuji Fujiwara et al.
	Art Unit	2616
	Examiner Name	Andrew I. Faile
SHEET 1 of 2	Attorney Docket No.	MTS-3237US

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

**<sup>1</sup>Applicant's unique citation designation number (optional).**

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

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**For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.**

**5**Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.  
**6**Indicate in which language the document is written if English language translation is attached.

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<p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>	<i>Complete if Known</i>	
	Application Number	09/811,682
	Filing Date	March 19, 2001
	First Named Inventor	Yuji Fujiwara et al.
	Art Unit	2616
	Examiner Name	Andrew I. Faile
<b>SHEET 2 of 2</b>	Attorney Docket No.	MTS-3237US

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

**<sup>1</sup>Applicant's unique citation designation number (optional).**

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

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